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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Alvarez et al.

Serial No.: 09/079,678

Art Unit: 1653

Filed: May 15, 1998

Examiner: Avis M. Davenport

For: RANDOM PEPTIDES THAT BIND TO
GASTROINTESTINAL TRACT (GIT)
TRANSPORT RECEPTORS AND
RELATED METHODS

Attorney Docket No.: 1101-220

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.56 and §1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office ("PTO") of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the references (BN-BO) listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant". Copies of References BN and BO, which are co-pending U.S. Applications, are submitted herewith. In particular, submitted in connection with references BN and BO are: copies of (i) substitute specifications filed on May 3, 2000; (ii) amended sequence listings filed on May 3, 2000; (iii) drawings, including amended drawings that were filed on May 3, 2000; and (iv) claims as amended by Amendments under 37 C.F.R. § 1.111 filed on February 22, 2001 and concurrently herewith, respectively.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art". As an

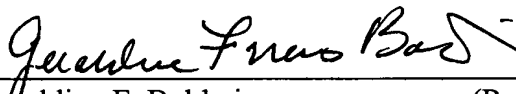
alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

The Supplemental Information Disclosure Statement is being filed after the first Office Action was mailed. Pursuant to 37 C.F.R. § 1.97(c), it is estimated that a fee of \$180.00 is due for this submission. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: March 28, 2001


Geraldine F. Baldwin 31,232
(Reg. No.)

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